

Australian Unity Funds Management Limited

ABN 60 071 497 115, AFSL 234454

Announcement – 16 December 2014

Foreign Account Tax Compliance Act (FATCA)

On 1 July 2014 ([see announcement](#)) changes were made to the Australian Unity Investments application forms ('the Application Forms') to accommodate the introduction of the US Foreign Account Tax Compliance Act ('FATCA'). Specifically these changes were made to identify the FATCA status of accounts for individuals.

From 1 January 2015, Australian Unity is required to collect information for all new accounts. The information required may include, but is not limited to, residency and/or information relating to an entity's beneficial owners.

Anti-Money Laundering (AML) / Counter Terrorism Financing (CTF)

New AML-CTF Customer Due Diligence ('CDD') Rules came into effect on 1 June 2014 ([see announcement](#)). There is an implementation period of 1 June 2014 to 31 December 2015 in which Australian Unity is required to implement these new CDD Requirements ('Transition Plan'). As part of the Transition Plan, changes were initially made to the Application Forms dated 1 July 2014 for accounts for individuals. From 1 January 2015, identification requirements for all accounts will be collected. The changes regarding entity accounts are primarily focused on identifying the beneficial owners of relevant entity types.

Updated Application Forms

Updated Application Forms dated 16 December 2014 are now available and effective immediately. Old Application Forms will not be accepted after 1 January 2015. Please refer to the Quick Links section of this website or contact Investor Services on 13 29 39 for copies of the Application Form.

These changes are a legislative requirement, therefore the information must be provided. If you do not provide this information at the time of application, we will not be able to proceed until you have done so.

The Australian Unity Funds Management Limited managed investment schemes impacted are included in the table below:

Fund	ARSN	APIR
Australian Unity Healthcare Property Trust	092 755 318	
Australian Unity Healthcare Property Trust – Wholesale Units		AUS0112AU
Australian Unity Healthcare Property Trust – Class A Units		AUS0037AU
Australian Unity Retail Property Fund – Wholesale Units	133 632 765	YOC0008AU
Australian Unity Office Property Fund	113 369 627	IPL0001AU
A-REIT Fund	140 274 728	AUS0055AU
Acorn Capital Asia Small Cap Fund	156 313 905	AUS0062AU
Acorn Capital Microcap Fund	095 115 594	AUS0108AU
Altius Bond Fund	150 873 395	WFS0486AU
Altius Sustainable Bond Fund	601 618 179	AUS0071AU
Australian Unity Long Duration Bond Fund	154 489 335	
Australian Unity Investments Strategic Fixed Interest Trust	116 735 703	AUS0009AU

Notice issued by:

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Fund	ARSN	APIR
Platypus Systematic Growth Fund	140 274 737	AUS0036AU
Platypus Australian Equities Fund	119 236 403	AUS0030AU
Australian Unity Investments Seres Asian Equity Opportunities Fund	140 898 004	AUS0038AU
Australian Unity Wingate Global Equity Fund	132 393 705	
Australian Unity Wingate Global Equity Fund - Wholesale Units		AUS0035AU
Australian Unity Wingate Global Equity Fund - Foundation Units		WGT0001AU
Australian Unity Wingate Global Equity Fund - Hedged	159 504 275	WFS0547AU
Australian Unity Wingate Spectrum Fund	132 393 796	
Australian Unity Balanced Growth Portfolio	090 010 638	AUS0100AU
Australian Unity Conservative Growth Portfolio	090 032 965	AUS0023AU
Australian Unity High Growth Portfolio	090 033 202	AUS0022AU
Australian Unity Wholesale Cash Fund	111 933 361	AUS0004AU
Pro-D Balanced Fund	160 421 063	AUS0066AU
Pro-D Growth Fund	160 421 161	AUS0068AU
Pro-D High Growth Fund	160 420 986	AUS0064AU

For more information

We regularly provide up-to-date information about these managed investment schemes, including Fund Updates and continuous disclosure information. These contain current information about the schemes gearing, interest cover, borrowings, fund diversification, valuation policy, related party transactions, distribution practices and withdrawal rights.

Please refer to the 'Quick Links' sections of this website or contact Investor Services on **13 29 39** for copies of these documents.