

## Australian Unity Funds Management Limited

ABN 60 071 497 115, AFSL 234454

Announcement – 16 December 2014

### Foreign Account Tax Compliance Act (FATCA)

On 1 July 2014 ([see announcement](#)) changes were made to the Australian Unity Investments application forms ('the Application Forms') to accommodate the introduction of the US Foreign Account Tax Compliance Act ('FATCA'). Specifically these changes were made to identify the FATCA status of accounts for individuals.

From 1 January 2015, Australian Unity is required to collect information for all new accounts. The information required may include, but is not limited to, residency and/or information relating to an entity's beneficial owners.

### Anti-Money Laundering (AML) / Counter Terrorism Financing (CTF)

New AML-CTF Customer Due Diligence ('CDD') Rules came into effect on 1 June 2014 ([see announcement](#)). There is an implementation period of 1 June 2014 to 31 December 2015 in which Australian Unity is required to implement these new CDD Requirements ('Transition Plan'). As part of the Transition Plan, changes were initially made to the Application Forms dated 1 July 2014 for accounts for individuals. From 1 January 2015, identification requirements for all accounts will be collected. The changes regarding entity accounts are primarily focused on identifying the beneficial owners of relevant entity types.

### Updated Application Forms

Updated Application Forms dated 16 December 2014 are now available and effective immediately. Old Application Forms will not be accepted after 1 January 2015. Please refer to the Quick Links section of this website or contact Investor Services on 13 29 39 for copies of the Application Form.

These changes are a legislative requirement, therefore the information must be provided. If you do not provide this information at the time of application, we will not be able to proceed until you have done so.

The Australian Unity Funds Management Limited managed investment schemes impacted are included in the table below:

| Fund   | ARSN        | APIR      |
|--|-------------|-----------|
| <b>Australian Unity Healthcare Property Trust</b>                  | 092 755 318 |           |
| Australian Unity Healthcare Property Trust – Wholesale Units       |             | AUS0112AU |
| Australian Unity Healthcare Property Trust – Class A Units         |             | AUS0037AU |
| <b>Australian Unity Retail Property Fund – Wholesale Units</b>     | 133 632 765 | YOC0008AU |
| <b>Australian Unity Office Property Fund</b>                       | 113 369 627 | IPL0001AU |
| <b>A-REIT Fund</b>   | 140 274 728 | AUS0055AU |
| <b>Acorn Capital Asia Small Cap Fund</b>                           | 156 313 905 | AUS0062AU |
| <b>Acorn Capital Microcap Fund</b>                                 | 095 115 594 | AUS0108AU |
| <b>Altius Bond Fund</b>  | 150 873 395 | WFS0486AU |
| <b>Altius Sustainable Bond Fund</b>                                | 601 618 179 | AUS0071AU |
| <b>Australian Unity Long Duration Bond Fund</b>                    | 154 489 335 |           |
| <b>Australian Unity Investments Strategic Fixed Interest Trust</b> | 116 735 703 | AUS0009AU |

Notice issued by:

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| <b>Fund</b>   | <b>ARSN</b> | <b>APIR</b> |
|---|-------------|-------------|
| <b>Platypus Systematic Growth Fund</b>                                    | 140 274 737 | AUS0036AU   |
| <b>Platypus Australian Equities Fund</b>                                  | 119 236 403 | AUS0030AU   |
| <b>Australian Unity Investments Seres Asian Equity Opportunities Fund</b> | 140 898 004 | AUS0038AU   |
| <b>Australian Unity Wingate Global Equity Fund</b>                        | 132 393 705 |             |
| Australian Unity Wingate Global Equity Fund - Wholesale Units             |             | AUS0035AU   |
| Australian Unity Wingate Global Equity Fund - Foundation Units            |             | WGT0001AU   |
| <b>Australian Unity Wingate Global Equity Fund - Hedged</b>               | 159 504 275 | WFS0547AU   |
| <b>Australian Unity Wingate Spectrum Fund</b>                             | 132 393 796 |             |
| <b>Australian Unity Balanced Growth Portfolio</b>                         | 090 010 638 | AUS0100AU   |
| <b>Australian Unity Conservative Growth Portfolio</b>                     | 090 032 965 | AUS0023AU   |
| <b>Australian Unity High Growth Portfolio</b>                             | 090 033 202 | AUS0022AU   |
| <b>Australian Unity Wholesale Cash Fund</b>                               | 111 933 361 | AUS0004AU   |
| <b>Pro-D Balanced Fund</b>  | 160 421 063 | AUS0066AU   |
| <b>Pro-D Growth Fund</b>  | 160 421 161 | AUS0068AU   |
| <b>Pro-D High Growth Fund</b>   | 160 420 986 | AUS0064AU   |

#### **For more information**

We regularly provide up-to-date information about these managed investment schemes, including Fund Updates and continuous disclosure information. These contain current information about the schemes gearing, interest cover, borrowings, fund diversification, valuation policy, related party transactions, distribution practices and withdrawal rights.

Please refer to the 'Quick Links' sections of this website or contact Investor Services on **13 29 39** for copies of these documents.